

89/830674 TW/fehr
Rec'd PCT/PTO 31 JUL 2001 6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tetsuya SUZUKA : Attn: **BOX PCT**

Serial No. 09/830,674 : **Docket No. 2001-0505A**

Filed April 30, 2001

RECORDING AND REPRODUCTION
APPARATUS
[Corresponding to PCT/JP00/05806
Filed August 29, 2000]

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing date of the first Office Action on the merits,

and thus no certification and/or fee is required.

1b. This Information Disclosure Statement is submitted
after the events of above paragraph 1a and prior to the mailing date of a final Office
Action or a Notice of Allowance or an action which otherwise closes prosecution in the
application, and thus:
(1) the certification of paragraph 2 below is provided, **or**
(2) the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. This Information Disclosure Statement is submitted:
after the mailing date of a final Office Action or Notice of Allowance or action which
otherwise closes prosecution in the application, and prior to payment of the issue fee, and
thus:
the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. that each item of information contained in this Information Disclosure Statement
was first cited in any communication from a foreign patent office in a counterpart
foreign application not more than three months prior to the filing of the
Statement, or
- b. that no item of information contained in the Information Disclosure Statement
was cited in a communication from a foreign patent office in a counterpart
foreign application and, to the knowledge of the person signing the certification
after making reasonable inquiry, was known to any individual designated in
§1.56(c) more than three months prior to the filing of the Statement.

3. Consideration of the following list of additional information (including any copending or
abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference
is made to:

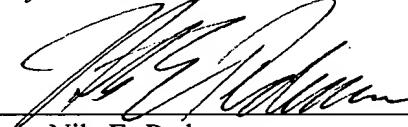
- a. a full or partial English language translation submitted herewith,
- b. a foreign patent office search report (in the English language) submitted herewith,
- c. the concise explanation contained in the specification of the present application at page,
- d. the concise explanation set forth in the attached English language abstract,
- e. the concise explanation set forth below or on a separate sheet attached to the reference:

5. References JP 9-270984 and JP 7-191894 are submitted herewith were filed in an International Search Report submitted April 30, 2001.

Respectfully submitted,

Tetsuya SUZUKA

By



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July 31, 2001

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 31, 2001

ATTY DOCKET NO.
2001-0505ASERIAL NO.
09/830,674APPLICANT
Tetsuya SUZUKAFILING DATE
April 30, 2001

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	9-319523	12/1997	JP			abstract
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED